

WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNIN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVEKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
KEVIN K. JOHANSON
R. BURNS ISRAELSEN
DAVID R. TODD

L. DAVID GRIFFIN
FRASER D. ROY
CARL T. REED
JESÚS JUANÓS I TIMONEDA, Ph.D.
R. PARRISH FREEMAN, Jr.
PETER F. MALLEN, Jr.
ADRIAN J. LEE
DAVID B. TINGEY
L. REX SEARS, Ph.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
WILLIAM R. RICHTER*
† ADMITTED ONLY IN CALIFORNIA
* ADMITTED ONLY IN PENNSYLVANIA
H. ROSS WORKMAN
OF COUNSEL

PATENTS

TRADEMARKS

COPYRIGHTS

TRADE SECRETS

UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45982

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>GENERAL E-MAIL: info@wnspatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Dennis H. Runnoe

Serial No.:

09/639,684

Confirmation No.:

Unknown

Filed:

August 15, 2000

For:

INTEGRAL CATHODE

Art Unit

2876

RECEIVED

AUG 29 2001

TECHNOLOGY CENTER-2800

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing fifteen (15) references submitted for consideration.

X Copies of fifteen (15) of the references listed on the Form PTO-1449.

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

I. X Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

II. Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:

 Promptness Certification; or

 Check No. in the amount of \$240.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

III. After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

 Promptness Certificate;

 Petition for Consideration; and

 Check No. in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

 Petition to Withdraw from Issue; and

 Check No. in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

Dated this 21st day of August 2001.

Respectfully submitted,



ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596



022913

PATENT TRADEMARK OFFICE

ELM:kjb

Enclosures

G:\DATA\WPDOCS\KJB\ELMLTR\VARIAN\PTO\14 ids trx 082101.doc



PATENT APPLICATION
Docket No: 14374.14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Runnoe

Serial No.: 09/639,684

Filed: August 15, 2000

For: INTEGRAL CATHODE

)
)
)
) Art Unit
) 2876
)
)
)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

RECEIVED
AUG 29 2001
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally,

Assistant Commissioner for Patents
Page 2

Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 21st day of August, 2001.

Respectfully submitted,



ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707



7040457 2876
#4
K. J. Jones
9/3/01

PATENT APPLICATION
Docket: 14374.14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
Dennis H. Runnoe)
Serial No.: 09/639,684) Art Unit
Confirmation No.: Unknown) 2876
Filed: August 15, 2000)
For: INTEGRAL CATHODE)

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 21st day of August 2001:

- Transmittal for Information Disclosure Statement (3 pgs.)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 (2 pgs.)
- Copies of fifteen (15) references
- Postcard

RECEIVED
AUG 29 2001
TECHNOLOGY CENTER 2800

Respectfully submitted,

E. L. Maschoff

ERIC L. MASCHOFF
Attorney for Applicant
Registration No. 36,596

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

ELM:kjb

G:\DATA\WPDOCS\KJB\ELMLTR\VARIAN\PTO\14 ids trx 082101.doc